

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
03850/010001

In Re Application Of: **Oliver KIENZLE et al.**

#5

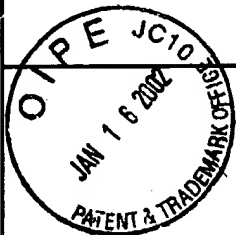
Serial No.  
09/934,262

Filing Date  
August 21, 2001

Examiner

Group Art Unit  
1756

Title: **APPARATUS AND METHOD FOR EXPOSING A RADIATION SENSITIVE LAYER BY MEANS OF CHARGED PARTICLES AS WELL AS A MASK FOR THIS PURPOSE**



Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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JAN 22 2002  
TC 1700

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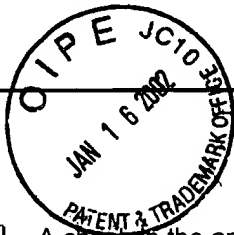
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### Payment of Fee

**(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))**

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

### Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

) on \_\_\_\_\_  
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**Signature**

***Typed or Printed Name of Person Signing Certificate***

### Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 12/11/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Lawrence Garcia

**Signature of Person Mailing Correspondence**

**Tawana L. Garcia**

***Typed or Printed Name of Person Mailing Correspondence***

**\*This certificate may only be used if paying by deposit account.**

 Signature

**Dated:**

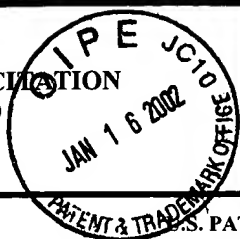
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**Houston, Texas 77010**

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**Facsimile: 713/228-8778**

CC:

# **INFORMATION DISCLOSURE CITATION** (Use several sheets if necessary)



Docket Number (Optional) <b>03850/010</b>	Application Number <b>09/934,262</b>
Applicant(s) <b>Oliver KIENZLE et al.</b>	
Filing Date <b>August 21, 2001</b>	Group Art Unit <b>1756</b>

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	4,521,711	06/04/1985	Okamoto et al.	310	313 B	04/07/1983
	A2	4,736,172	04/05/1988	Ebneter	333	193	09/02/1985
	A3	4,910,839	03/27/1990	Wright	333	25.35	12/03/1984
	A4	5,073,763	12/17/1991	Wright	333	193	11/02/1990
	A5	5,162,689	11/10/1992	Fliegel et al.	310	313 B	05/01/1991
	A6	5,264,751	11/23/1993	Dufilie et al.	310	313 B	09/30/1992
	A7	5,287,036	02/15/1994	Penunuri	310	313 R	03/02/1992
	A8	5,327,039	07/05/1994	Yamada	310	313 B	06/23/1992
	A9	5,334,960	08/02/1994	Penunuri	333	193	02/16/1993
	A10	5,353,206	11/15/1994	Machui et al.	333	195	11/14/1990
	A11	5,406,159	04/11/1995	Wright	310	313 D	11/11/1993

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## **FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

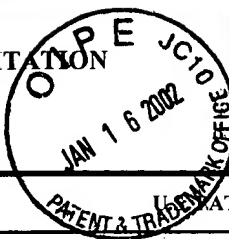
	A12	ESRF Annual Report for 1995/1996 located at <a href="http://www.esrf.fr/info/science/annrep/95-96/report/exp/id32/id32.htm">http://www.esrf.fr/info/science/annrep/95-96/report/exp/id32/id32.htm</a> , 9 pgs/

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional) 03850/0100	Application Number 09/934,262
Applicant(s) Oliver KIENZLE et al.	
Filing Date August 21, 2001	Group Art Unit 1756

## UNITED STATES PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B1	5,406,159	04/11/1995	Wright	310	313 D	11/11/1993
	B2	5,773,911	06/30/1998	Tanaka et al.	310	313 B	07/29/1997
	B3	5,973,826	10/26/1999	Chapman et al.	359	355	02/20/1998
	B4	6,033,079	03/07/2000	Hudyma	359	857	03/15/1999
	B5	6,142,641	11/07/641	Cohen et al.	359	859	06/18/1998
	B6	6,147,574	11/14/2000	Kidoh	333	195	11/19/1998
	B7	5,646,584	07/08/1997	Kondratyey et al.	333	193	04/24/1995

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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
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